

Republic of the Philippines DEPARTMENT OF FINANCE Roxas Blvd. comer P. Ocampo St., 1004 Manila



July 18, 2025

Mr. MIKAEL LOUIS C. BAUTISTA Consultant

Subject:

NOTICE TO PROCEED

Dear Mr. Bautista:

In view of the award of the Contract for the engagement of Services of Senior Technical Consultant ("the Contract"), you are hereby given Notice to Proceed to render said consultancy services for this Department.

This Contract shall be effective from August 1, 2025 to December 31, 2025.

Thank you.

Very truly yours,

BAYANI H. AGABII Undersecretary

CONFORME:

Mr. MIKAEL LOUIS C. BAUTISTA

Date: 28 JULY 2025

CONTRACT AGREEMENT FOR THE ENGAGEMENT OF SERVICES OF SENIOR TECHNICAL CONSULTANT FOR THE PRIVATIZATION AND PARTNERSHIP GROUP IN THE DEPARTMENT OF FINANCE

KNOW ALL MEN BY THESE PRESENTS:

This CONTRACT entered into this	day of	2025 in the City
of Manila, Philippines, by and between:	C-174 - 0 10 10	

The **DEPARTMENT OF FINANCE**, a government agency of the Republic of the Philippines with principal office at the 6th Floor, DOF Building, Roxas Boulevard, Manila, herein represented by **Undersecretary BAYANI H. AGABIN**, hereinafter referred to as the "**DEPARTMENT**";

-and-

Mr. MIKAEL LOUIS C. BAUTISTA, of legal age, Filipino and a resident of hereinafter referred to as the "CONSULTANT".

The Department and the Consultant shall be collectively referred herein as the PARTIES."

WITNESSETH That:

WHEREAS, the Privatization and Partnership Group (PPG) has a broad range of responsibilities where it plays a vital role in the implementation of the government's build build/Public-Private Partnership (PPP) projects as well as in overseeing the government's privatization program;

WHEREAS, the engagement of the Senior Technical Consultant shall augment the expertise needed in the role of the Privatization Group, specially, in matters pertaining to the administration's drive to roll-out Government Projects, and the privatization of government-owned and controlled corporations ("GOCC") and other assets;

WHEREAS, the DEPARTMENT needs to engage the services of Technical Consultant:

WHEREAS, as provided under Section 35.7 of the Implementing Rules and Regulations of the Republic Act (RA) No. 12009, negotiated procurement is allowed, "In the case of individual consultants hired to do work that is (i) highly technical or proprietary; or (ii) primarily confidential or policy determining; where trust and confidence are the primary considerations for the consultant, Provided, however, That the term of the individual consultants shall, at the most, be on a yearly basis, renewable at the option of the Head of the Procuring Entity (HoPE), but in no case shall exceed the term of the latter":

WHEREAS, the engagement of the services of Mr. Mikael Louis C. Bautista is necessary where his technical expertise can be fully tapped;

WHEREAS, under the DEPARTMENT's Bids and Awards Committee (BAC) Resolution No. 020-2025 dated July 8, 2025, it was resolved to recommend the engagement of the consulting services of Mr. Mikael Louis C. Bautista through negotiated procurement because the work to be performed is highly technical in nature;

WHEREAS, the DEPARTMENT shall engage the services of the CONSULTANT for the provision of the Services for the period of August 1, 2025 to December 31, 2025;

WHEREAS, the CONSULTANT is willing to provide the services and technical assistance to the DEPARTMENT;

WHEREAS, the DEPARTMENT has issued Obligation Request and Status No. 011011012025-07-001579 dated July 17, 2025 certifying that adequate funds have been duly appropriated for this procurement in accordance with existing accounting and auditing rules and regulations;

NOW THEREFORE, for and in consideration of the foregoing premises, the parties hereto have agreed as follows:

1. SCOPE OF SERVICES

The CONSULTANT shall have the following duties and functions:

- Review the viability of the marketing plans proposed for the government assets for disposition;
- Provide sound direction and support in project management relative to the PPG's role in the implementation of the government's PPP projects;
- Contribute to and support the effective communications plan for the proposed PPP Act;
- d. Review projects or similar projects with variations to determine policies and guidelines set by the Economy and Development Council and/or Investment Coordination Committee (ICC) in the distribution of risks and management of Government exposure, including contingent liabilities;
- e. Assist the Undersecretary to any Board functions she is representing, which among others as alternate Chair of the Secretary of Finance to the Power Sector Assets and Liabilities Management Corporation (PSALM) Board, that reports to the Department of Energy which directly supervises all energy related programs, projects and activities, including restructuring of the electricity industry;
- f. Conduct of due diligence and research, financial model construction, preparation, review, and amendments to capital market deal processes (mandate letters, offer letters, NDAs) financial opinions, financial model analysis, provision of financial deal structures, financial statement analysis, ocular inspections, engagement in ongoing feedback loops and channels;
- Attendance in meetings, discussion of results, provision of feedback, distribution of tasks, minutes of the meetings; and
- Review Board resolutions referred for signature/approval of the Undersecretary.

2. CONSULTANCY FEE

For and in consideration of the Services rendered by the CONSULTANT, the DEPARTMENT hereby agrees to pay the former a monthly fee of ONE HUNDRED FIFTY THOUSAND PESOS (₱150,000.00) for five (5) months or an aggregate amount of SEVEN HUNDRED FIFTY THOUSAND PESOS (₱750,000.00), subject to withholding tax and any and all taxes payable to the government. All payments made under this CONTRACT shall be subject to applicable government accounting and auditing rules and regulations. The CONSULTANT shall not be entitled to fringe benefits,

representation and transportation allowance, and other allowances and incentives given to DEPARTMENT employees.

3. CONFLICT OF INTEREST

The CONSULTANT commits to provide technical, objective and impartial services to the DEPARTMENT and at all times uphold its paramount interests without consideration for future work assignments that may place the CONSULTANT in a position of not being able to carry out the assignments in the best interest of the DEPARTMENT.

4. CONFIDENTIALITY CLAUSE/NON-DISCLOSURE

Except with the prior written consent of the DEPARTMENT, the CONSULTANT shall not at any time communicate to any person or entity any confidential information acquired in the course of the performance of the Services, nor shall the CONSULTANT make public the recommendations formulated in the course of, or as a result of, the Services. For purposes of this clause, "Confidential Information" means any information or knowledge acquired by the CONSULTANT arising out of, or in connection with, the performance of the Services under this CONTRACT that is not otherwise available to the public.

5. NO EMPLOYER - EMPLOYEE RELATIONSHIP

It is expressly understood and agreed that the CONSULTANT assigned to the DEPARTMENT is not an employee of the DEPARTMENT. It is further understood and agreed that any personal injury, death or damage sustained by the CONSULTANT during the performance of his duties or while within premises of the DEPARTMENT attributable to the acts, omissions, or fault of the DEPARTMENT shall be the liability of the official, employee or agent concerned.

6. CONSULTANT'S LIABILITY

The CONSULTANT shall indemnify the DEPARTMENT for any and all damages that the DEPARTMENT may incur due to the fault, negligence, error or omission of the CONSULTANT, without prejudice to other legal remedies available to the DEPARTMENT.

7. EFFECTIVITY DATE AND DURATION OF CONTRACT

This CONTRACT shall be in force for the period August 1, 2025 to December 31, 2025.

8. AMENDMENT AND MODIFICATION

No addendum or amendment to this CONTRACT shall be valid and binding between the PARTIES, unless it is in writing and signed by the PARTIES or their authorized representatives.

9. VENUE

In case of any litigation arising from this CONTRACT, the PARTIES agree that the competent courts of Manila shall be the exclusive venue, to the exclusion of all other courts or tribunals.

10. TERMINATION OF CONTRACT

Either the DEPARTMENT or the CONSULTANT may terminate this CONTRACT no less than thirty (30) days upon service of prior written notice to the other party.

11. SEPARABILITY

If any one or more of the provisions contained in this CONTRACT or any documents executed in connections herewith shall be invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired.

12. DATA PRIVACY COMPLIANCE

The PARTIES shall comply with the provisions of Republic Act (RA) No. 10173, otherwise known as the "Data Privacy Act of 2012", its Implementing Rules and Regulations (IRR), issuances of the National Privacy Commission (NPC), and other applicable laws on processing of personal information. These shall include compliance with all relevant data protection policies of the DEPARTMENT and other measures reasonably necessary to prevent any use or disclosure of personal data other than as allowed under this CONTRACT.

The PARTIES shall implement security measures aimed at maintaining the availability, integrity, and confidentiality of personal data including the protection of personal data against any accidental or unlawful destruction, alteration, and disclosure, as well as against any other unlawful processing.

13. CONSENT TO THE PROCESSING OF PERSONAL INFORMATION

As part of the data privacy compliance, the CONSULTANT hereby grants his consent to the DEPARTMENT's processing of his personal information collected under this CONTRACT, which may include the disclosure of such information to third parties, to comply with requirements of law in relation to the implementation of this CONTRACT.

IN WITNESS WHEREOF, the parties h day of 2025 at Manila,	OF, the parties have hereto set their hands this 2025 at Manila, Philippines.				
CONSULTANT	DEPARTMENT OF FINANCE				
By: MOROLES	By:				
MIKAEL LOUIS C. BAUTISTA Consultant	BAYANI H. AGABIN Undersecretary				

SIGNED IN THE PRESENCE OF

ACKNOWLEDGMENT

Republic of the	Philippines)
City of Manila		S.5

	BEFC	RE ME t	his	JUL 28	2025	da	ay of			at	the
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the D	EPAR	TMENT							Bautista	with	TIN
		and	his g	governme	nt issue	d ID				issued	
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who ex	xecute	d the fore	going (contract,	consisting	of five (5) page	s inclu	ding this	page,	and
who a	cknow	ledged to	me tha	at the san	ne is their	free and	volunta	ary act	and dee	d, and	the
free ar	nd volu	intary act	and de	eed of the	governn	nent entit	y which	they r	epresent	t.	

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal at the place and on the day first above written.

NOTARY PUBLIC

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Page No. 29
Book No. 5eries of 2025

ATTY. MANUEL ABUYO RODRIGUEZ II

Notary Public for City of Manila-Until 12-31-2026

Notarial Commission No. 2025-146

2nd Floor Midland Plaza Hotel, Advatico St., Ermita Mia.

I.B.P. No. 469257-Sept. 26-2024 for the year 2025

P.T.R. No. 2041440-Jan 2, 2025-Manila

MCLE Compliance No. VIII-0029662-Valid until 4-14-23

Roll of Attorney No. 68732