

FORM A
DEPARTMENT PERFORMANCE TARGETS/ACCOMPLISHMENTS

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DEPARTMENT: DEPARTMENT OF FINANCE - OFFICE OF THE SECRETARY

MFOs AND PERFORMANCE INDICATORS (1)	DEPARTMENT FY 2012 ACTUAL ACCOMPLISHMENTS (2)	DEPARTMENT FY 2013 TARGET (3)	RESPONSIBLE BUREAUS/OFFICES (4)	DEPARTMENT FY 2013 ACTUAL ACCOMPLISHMENTS (5)	REMARKS (6)
A. Major Final Outputs (MFOs)/ Operations					
MFO 1: FISCAL POLICIES (DOMESTIC AND INTERNATIONAL) PLANS AND PROGRAMS					
2013 BUDGET: P 145,280,390.30					
Performance Indicator 1: Tax revenue as percentage of GDP Quantity: N/A Quality: N/A Timeliness: dependent upon the release of quarterly GDP by NEDA	12.9% (Average - Full year)	13.50%	DFG - FPPO	13.60%	actual as June 30, 2013; average of Q1 (12.0%) and Q2 (15.1%) Q2 GDP was released Aug. 28, 2013
Performance Indicator 2: Tax policy formulation <u>Set 1:</u> Quantity: No. of policy studies/ position papers/ comments analyzed and prepared for submission to both Houses of Congress and other institutions requesting DOF comments Quality: Percentage of policy studies/ position papers/ comments approved and signed by the DOF-DFG Undersecretary Timeliness: Percentage of policy studies/ position papers submitted to DFG Undersecretary on or before specified deadline <u>Set 2: Tax Incentives Management and Transparency Proposal</u> Quantity: Policy proposal on enhancing transparency and accountability in the grant of tax incentives Quality: Policy proposal approved by the DFG Undersecretary Timeliness: Policy proposal on enhancing transparency of incentives <u>Set 3: Repeal of Tax Incentives Provision under Special Laws</u> Quantity: Policy proposal in repealing the incentive provisions of FI special laws Quality: Policy proposal approved by the DFG Undersecretary Timeliness: Policy proposal on the repeal of incentive provisions under special laws	49 (ILO/RIO) 90% 90%	24 (ILO/ RIO) 90% 90%	DFG - ILO / RIO DFG - ILO / RIO DFG - ILO / RIO		

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Set 4: Rationalization of the Fiscal Regime for Mining Quantity: Reports on: 1. Report on the review of current tax structure of the Mining Sector 2. Report on the best practices and mining taxation of other countries 3. Gathered data on mining tax/fees collections, volume and value of production and other relevant data 4. Simulations of the impact of various fiscal regime options for the Mining Sector 5. Position papers, containing DOF's findings and recommendations, on the various fiscal regime options for the Mining Sector 6. Memoranda, briefers, presentations, Q and As on the Proposed Mining Taxation Reform. 7. Participated in TWG meetings, foras and congressional hearings Quality: Approved by the DFG Undersecretary Timeliness: End of December 2013		1 1 10 30 5 5 20	DFG - FPPO	1 1 6 21 9 3 44	actual as of June 30, 2013
Performance Indicator 3: Tracking of DOF related bills Quantity: No. of tracked bills in Congress Quality: Percentage of tracked bills secured by the ILO Timeliness: Percentage of bills promptly secured by the ILO from the time the bills are referred to the respective Congressional Committees	89 90% 90%	50 90% 90%	DFG - ILO/RIO		
Performance Indicator 4: Processing of Tax Credit Applications of Qualified Exporters (based on Claim Tracking System) Quantity: Number of application processed of Investment Incentive Group (IIG) and Duty Drawback Group (DDG) Quality: Percentage of accuracy of computation of Tax Credit Due Timeliness: Percentage of compliance based on mandated processing time	570 100% n.a.	570 100% 100%	One Stop Shop Inter-Agency Tax and Duty Drawback Center		2012 Actual Qty - TCC issued by Investment Incentive Group (IIG) and Duty Drawback Group (DDG) Timeliness - not yet monitored
Performance Indicator 5: Processing of request for exemption of various importation from payment of taxes and duties/ duty free and VAT free importations Quantity: Number of applications for tax/ duty exemption Quality: 98% acceptability Timeliness: 1 to 2 days (simple transaction); 1 to 5 days (complex transaction)	45,309	30,000 tax and duty exemption	Revenue Office		

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Performance Indicator 6: Policy recommendations, reports and/or position papers submitted to the Secretary/ President/ Senate/ House/ Congress aimed in advancing Philippine Interests in various international organizations, institutions and/or associations Quantity: Number of meetings organized and/or participated in by the Department funded either through the GAA, the ICF and/ or with the assistance from local or international institutions, excluding hosting/s cancelled or rescheduled due to unforeseen circumstances (i.e. natural calamities, Presidential/ Executive decisions, etc.) Quality: Percentage of policy recommendations, reports and/or position papers submitted against the number of meetings organized and/or participated in Timeliness: Percentage of policy recommendations, reports and/or position papers submitted on or before set deadlines	Local - 59 International - 30 Hosting - 7 N/A N/A	ADB - 1 PDF - 1 APEC - 11 OECD - 1 ASEAN - 12 WB-IMF - 2 90% 90%	IFG	(as of June 2013) ADB - 1 PDF - 1 APEC - 6 ASEAN - 7 100% 100%	Please note that data in 2012 includes attended coordination meetings with other government agencies. The 2013 data does not include coordination meetings, only official event meetings. Also, the 2013 data already includes the scheduled hosting for the year. Please note that the quality and timeliness criteria used in 2012 is different from that of 2013
Performance Indicator 7: Implementing and monitoring of policies, programs and initiatives Quantity 1: Number of monitored existing initiatives or programs of the Philippines and of other countries/ jurisdictions Quantity 2: Number of new commitments, initiatives or programs proposed by the Department Quality 1: Percentage of reports, position papers, commitments and/ or new initiatives/ programs produced from the number of initiatives and/ or programs monitored Quality 2: Percentage of positive feedback from stakeholders on the new initiative/ program proposed by the Department Timeliness: Percentage of required actions (i.e. reports, position paper, etc.), commitments and/ or new initiatives/ programs to be implemented and/ or submitted by the Department on or before set deadlines	10 0 100% N/A 100%	9 1 90% 90% 90%	IFG		on-going on-going on-going on-going on-going

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MFO 2: ANTI-CORRUPTION IN PUBLIC FINANCE MANAGEMENT AND EXERCISE OF REGULATORY POWER					
2013 BUDGET: P 34,026,720.49					
Performance Indicator 1: Number of persons investigated Quantity: 1. For lifestyle cases - number of Investigation Authority 2. for other cases - number of letter-request for documents initiating investigation Quality: Investigation is exhaustively conducted wherein relevant activities were done and documents needed secured Timeliness: From the time an Investigation Authority is issued up to the time submission of final report of investigation	72 Not Applicable Not Applicable	60 Not Applicable Not Applicable	RIPS	36 (as of June 30, 2013)	Quantity is easily determined in terms of the primary function of RIPS of investigating and charging erring personnel. Quality on the other hand, is difficult as we need to establish standards and criteria to measure the quality of number of personnel investigated. Setting of criteria is on-going
Performance Indicator 2: Number of persons charged Quantity: Number of personnel charged/ penalized for violation of RA 3019, RA 6713 and Revised Penal Code over the number of persons investigated Quality: Complaints filed with allegations are fully substantiated with documentary evidence Timeliness: From the time Final Investigation Report is submitted up to the time a complaint is filed with OMB and/or CSC	31 Not Applicable Not Applicable	30 Not Applicable Not Applicable	RIPS	13 (as of June 30, 2013)	Quantity is easily determined in terms of the primary function of RIPS of investigating and charging erring personnel. Quality on the other hand, is difficult as we need to establish standards and criteria to measure the quality of number of personnel charged. Setting of criteria is on-going
MFO 3: POLICIES, PLANS AND PROGRAMS FOR DOMESTIC FINANCIAL AND CAPITAL MARKET DEVELOPMENT					
2013 BUDGET: P 15,396,205.00					
Performance Indicator 1: Enabling environment for capital market development Quantity: Not Applicable Quality: Not Applicable Timeliness: Not Applicable	1	1	IFG	4	(as of June 2013)

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Performance Indicator 2: Framework on the establishment of Movable Collateral Registry Quantity: Number of document Quality: Framework approved/ finalized for implementation Timeliness: end of December 2013	N/A	1	NCC	1	project completed as of 7 June 2013
Performance Indicator 3: Implementing guidelines for alternative dispute resolution on Microinsurance Quantity: Implementing guidelines to be issued Quality: Approved implementing rules and regulation Timeliness: end of December 2013	N/A	1	NCC		guidelines to be issued by end of December 2013
MFO 4: POLICIES, PLANS AND PROGRAMS FOR PUBLIC SECTOR DEBT MANAGEMENT					
2013 BUDGET: P 14,314,600.00					
Performance Indicator 1: NG debt % of GDP Quantity: Not Applicable Quality: Not Applicable Timeliness: Not Applicable	51.40% N/A N/A	48.57% N/A N/A	IFG	Data not yet available * N/A N/A	Compliance to be determined once official figures will be released
MFO 5: POLICIES, PLANS AND PROGRAMS FOR GOVERNMENT CORPORATE SECTOR AS WELL AS THE OTHER GOVERNMENT ASSETS					
2013 BUDGET: P 15,650,340.00					
Performance Indicator 1: Dividend remittance from GOCC Quantity: Quality: Percentage of dividend collected over target dividend Timeliness: Dividend from GOCCs collected within the prescribed period	P 24.859 B	P 5.50 B 100% 100% collection by fourth quarter of the target year	CAG	P 17.64 B (Jan-June 2013) 321% 321%	The target dividend level of P5.5 billion for 2013 approximates the historical average dividend collection for 2002 to 2010 before dividend from Bangko Sentral ng Pilipinas and extraordinary collections

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Performance Indicator 2: Gross Proceeds from Asset Privatization Quantity: Gross revenue from the disposition of government assets Quality: Maximize value of the assets Timeliness: realizable within the scheduled timeline	P 20.05 B	P 2.00 B 80% of the appraised value as scheduled	Privatization Group	P 0.25 B (as of June 30, 2013)	target programmed on the last quarter
Performance Indicator 3: Value of costs saved for government from non-mainstream government payment obligation Quantity: Value of cost save for government Quality: No qualitative target possible. Nature of various cost savings effort are non standard and not comparable with each other Timeliness: realizable within the scheduled timeline		P 1.00 B Not Applicable one year	Privatization Group		awaiting release of the Executive Order (target programmed on the last quarter)
Performance Indicator 4: Cash and other proceeds received by government from work out (legal, commercial/litigation/negotiation) Quantity: Total assets realized for government Quality: No qualitative target possible. Nature of various work outs are unique, non standard and not comparable to each other Timeliness: realizable within the scheduled timeline	P 1.16 B	P 1.00 B Not Applicable one year	Privatization Group	P 0.15 B (as of June 30, 2013)	target programmed on the last quarter
Performance Indicator 5: PPP contracts successfully bidded out Quantity: Revenue for the project Quality: Target is incomparable Timeliness: realizable within the scheduled timeline	US\$ 389 million	US\$ 389 million Not Applicable one year	Privatization Group	P 14.80 B (as of June 30, 2013)	target programmed on the last quarter
MFO 6: ADMINISTRATION OF LOCALLY SOURCED AND ODA FUNDS FOR LGUs					
2013 BUDGET: P 17,357,700.00					
Performance Indicator 1: Repayment rate Quantity: Percentage of repayment rate Quality: Payments made by LGUs are thru issuance of checks and bank remittances Timeliness: LGUs are paying on or before the due date and within the 30-day grace period	93%	90.00% 90% collected on or before the due date	MDFO	98% (as of June 2013)	


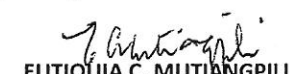
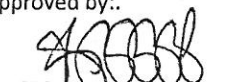
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Performance Indicator 2: Number of LGUs which have availed of ODA funds Quantity: Number of LGUs which have availed of ODA & SGF funds Quality: Sub-Projects financed are consistent with the project appraisal documents and loan agreement Timeliness: Fund release within two weeks from submission of required documents	151	301 100% compliant 2 weeks	MDFO	238 (as of June 2013)	
Support to Operations (STO):					
2013 BUDGET: P 30,463,900.00					
Performance Indicator 1: Legal services Quality: 100% in accordance to existing laws, rules and regulations Timeliness: within 30 days from receipt of complete documents	100% documents/ tasks were reviewed/ acted upon	100 % documents/ tasks were reviewed/ acted upon	Office of the Undersecretary for Revenue Operations and Legal Affairs Group		
Performance Indicator 2: Enterprise architecture and process optimization of IS Solutions Quality: % of efficiency of the optimized IS Solutions Timeliness: Ensure effective IS optimization within the specified time-frame	100% accomplished	Formulate and adopt best practice solutions on IT business process re- engineering 85% efficient within the specified time frame	CMIO-IT, MISO		IS optimization and strategic development catered to different groups (ROG,PSD,GSD,Budget, etc.) through document management system
Performance Indicator 3: IT governance and infrastructure management plan Quality: % of ICT solutions dependability Timeliness: Ensure effective management and supervision of IT infrastructure and ICT solutions within the specified time-frame	100% accomplished	Implement an enhanced infrastructure management and security plan 85% dependable within the specified time frame	CMIO-IT, MISO		End-to-end solutions on DOF IT infrastructure, network management and IT security
Performance Indicator 4: Implement effective ICT service and deliverables Quality: % of ICT service deliverable acceptability Timeliness: Ensure effective ICT service deliverables within the specified time-frame	100% accomplished	Create effective ICT support which will improve our service delivery 85% acceptable within the specified time frame	CMIO-IT, MISO		More consistent and efficient IT service management pool for the DOF

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General Administration and Support Services (GASS)					
2013 BUDGET: P 135,918,144.21					
Performance Indicator 1: Budget Utilization Rate (MOOE & CO)	88.32%	10% improvement over last year's budget utilization	CFMO/ Budget Division		
Performance Indicator 2: Financial statements and all reports and documents submitted to COA Quantity: Reports and documents submitted to COA Timeliness: Reports and all documents submitted to COA within the allowable period	100% submitted 100% submitted within the allowable period	100% submitted 10% improvement on the submission of reports within the required deadline	CFMO/ Accounting Division		
<p><i>NOTE: Budget is exclusive of RLIP and Continuing Appropriations</i></p> <p><i>* Indicator is based on annual GDP and annual total NG debt. Data Only available at the end of every year</i></p>					
<p>Recommending Approval:</p> <div style="text-align: center;">  GIL S. BELTRAN Undersecretary </div>		<p>Prepared by:</p> <div style="text-align: center;">  EUTQUIA C. MUTIANGPILI Chief Administrative Officer </div>			
<p>Approved by:.</p> <div style="text-align: center;">  CESAR V. PURISIMA Secretary </div>		<div style="text-align: center;"> _____ Date </div> <div style="text-align: center;"> _____ Date </div>			

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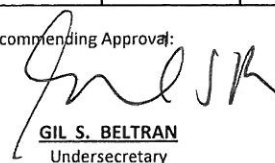

FORM A-1
DETAILS OF BUREAU/OFFICE PERFORMANCE INDICATORS AND TARGETS/ACCOMPLISHMENTS

DEPARTMENT: DEPARTMENT OF FINANCE
BUREAU/OFFICE: OFFICE OF THE SECRETARY

Major Final Outputs/ Responsible Bureaus	Performance Indicator 1	FY 2013 TARGET for Performance Indicator 1	Performance Indicator 2	FY 2013 TARGET for Performance Indicator 2	Performance Indicator 3	FY 2013 TARGET for Performance Indicator 3	Performance Indicator 4	FY 2013 TARGET for Performance Indicator 4	Performance Indicator 5	FY 2013 TARGET for Performance Indicator 5	Performance Indicator 6	FY 2013 TARGET for Performance Indicator 6	Performance Indicator 7	FY 2013 TARGET for Performance Indicator 7	Remarks
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
A. Major Final Outputs (MFOs)/ Operations															
MFO 1: Fiscal Policies (Domestic & International) Plans and Programs	Tax revenue as percentage of GDP	13.50%	Tax policy formulation	Set 1: 24 Set 2: 1 Set 3: 1 Set 4: 72	Tracking of DOF related bills	50	Processing of tax credit applications of qualified exporters (based on Claim Tracking System)	570	Processing of request for exemption of various importation from payment of taxes and duties/ duty free and VAT free importations	30,000 tax and duty exemption	Policy recommendations, reports and/ or position papers submitted to the Secretary/ President/ Senate/ House/ Congress aimed in advancing Philippine Interests in various international organizations, institutions and/ or associations	ADB - 1, PDF - 1, APEC - 11, OECD - 1, ASEAN - 12, WB-IMF - 2	Implementing and monitoring of policies, programs and initiatives	Quantity 1: 9 Quantity 2: 1	
MFO 2: Anti-Corruption in Public Finance Management and Exercise of Regulatory Power	Number of persons investigated	60	Number of persons charged	30											
MFO 3: Policies, Plans and Programs for Domestic Financial and Capital Market Development	Enabling environment for capital market development	1	Framework on the establishment of Movable Collateral Registry	1	Implementing guidelines for alternative dispute resolution on Microinsurance	1									
MFO 4: Policies, Plans and Programs for Public Sector Debt Management	NG debt % of GDP	48.57%													
MFO 5: Policies, Plans and Programs for Government Corporate Sector as well as the Other Government Assets	Dividend remittance from GOCC	P 5.50 B	Gross proceeds from asset privatization	P 2.00 B	Value of costs saved for government from non-mainstream government payment obligation	P 1.00 B	Cash and other proceeds received by government from work out (legal, commercial/ litigation/ negotiation)	P 1.00 B	PPP contracts successfully bidded out	US\$ 389 million					
MFO 6: Administration of Locally Sourced and ODA Funds for LGUs	Repayment rate	90.00%	Number of LGUs which have availed of ODA (and SGF) funds	301											
B. Support to Operations (STO)															
	Legal services	100% documents/ tasks were reviewed/ acted upon	Enterprise architecture and process optimization of IS Solutions	85% efficient	IT governance and infrastructure management plan	85% dependable	Implement effective ICT service and deliverables	85% acceptable							

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(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
C. General Administration and Support Services (GASS)															
	Budget utilization rate (MOOE and CO)	10% improvement over last year's budget utilization	Financial statements and all reports and documents submitted to COA	Qty: 100% submitted Timeliness: 10% improvement on the submission of reports within the required deadline											
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <p>Prepared by:</p> <div style="text-align: center;">  <u>EUTQUIA C. MUTANGPILI</u> Chief Admin. Officer </div> <div style="text-align: center; margin-top: 10px;"> _____ Date </div> </div> <div style="width: 30%;"> <p>Recommending Approval:</p> <div style="text-align: center;">  <u>GIL S. BELTRAN</u> Undersecretary </div> <div style="text-align: center; margin-top: 10px;"> _____ Date </div> </div> <div style="width: 30%;"> <p>Approved by:</p> <div style="text-align: center;">  <u>CESAR V. PURISIMA</u> Secretary </div> <div style="text-align: center; margin-top: 10px;"> _____ Date </div> </div> </div>															

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